

7<sup>th</sup> April, 2021

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street <b>Mumbai 400 001</b>  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), <b>Mumbai 400 051</b>  <b><u>NSE Symbol: WOCKPHARMA</u></b>
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Dear Sir/Madam,

**Sub: Submission of Certificate pursuant to Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In compliance with Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the copy of certificate by a Practising Company Secretary for the half year ended 31<sup>st</sup> March, 2021.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



**Gajanand Sahu**  
**Company Secretary**

Encl: As above

# Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,  
Pinnacle Corporate Park,  
BKC CST Link Rd., MMRDA Area,  
Bandra Kurla Complex,  
Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattivirendra1945@yahoo.co.in

**Name of the Company** : WOCKHARDT LIMITED  
**CIN** : L24230MH1999PLC120720  
**Registered Office** : Wockhardt Research Centre, D - 4, M.I.D.C. ,  
Chikalthana, Aurangabad - 431006

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 31<sup>ST</sup> MARCH, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to the **WOCKHARDT LIMITED** maintained by the **LINK INTIME INDIA PRIVATE LIMITED, the Registrar and Share Transfer Agent (RTA) of the Company**, pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by the **WOCKHARDT LIMITED** and based on the information provided by the Company/RTA, hereby certify that the Company has delivered during the half year ended on **31<sup>st</sup> March, 2021**.

- A. Share Certificates relating to the Share Transmission forms received during the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B. Physical Share certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgement.
- C. No requests were received by the Registrar and Share Transfer Agents of the Company for Transfer, sub-division, consolidation, replacement for the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**.

**Date:** 7<sup>th</sup> April, 2021

**Place:** Mumbai

**UDIN:** A001157C000030945

**Note:**

*Due to prevailing conditions owing to COVID-19, I am unable to verify the information physically, therefore I rely on the information as provided by the Registrar and Share Transfer Agent of the Company and the Company in electronic mode.*

  
**Virendra G. Bhatt**  
Practicing Company Secretary  
ACS No.: 1157 / COP No.: 124

